

IMPORTANT NOTICES: Structured Products can be complex vehicles with a range of moving parts. They are designed to be invested for the duration of the term and, relative to their terms, may not be able to be sold, without substantial penalties. All of your capital may be at risk. Whilst we review investments against our criteria for acceptance into The Forthplus SIPP, any investment chosen is done so entirely at your own risk and should be done in consultation with your financial adviser. You must ensure that you have **read and understood** the prospectus and/or offering document in advance of instructing us to invest into a Structured Product, which is specific to the product you are investing in. Forthplus Pensions Limited do not accept any liability for the performance, accessibility, suitability, or otherwise of any investment choices, including, but not limited to, any losses, damages or costs (including but not limited to legal fees) resulting from us purchasing, on your behalf, the product listed below.

1 MEMBER INFORMATION

Full Name:

Forthplus SIPP Reference:

2 INVESTMENT DETAILS

Name of Structured Product:

ISIN of Structured Product:

Amount to be Invested £:

As part of your request, Forthplus must have been provided with the relevant documentation to be able to review this Structured Product in advance of proceeding with the investment. Where there is a strike date, we will always endeavour to do our best to meet this deadline but cannot guarantee this and will not be responsible in any way should the cut off not be met. If a new investment is chosen, then a new declaration will be required.

3 DECLARATIONS

Member Declaration of Understanding

By signing this declaration, I can confirm that:

- I understand that structured products are usually considered high risk and are often complex products
- I understand that this type of investment is typically designed for investors who can afford to lose the entire capital invested in this product
- I understand that early redemption may be restricted or prohibited, and where a secondary market may exist to counteract this, a purchase is not guaranteed and may come with a significant loss
- I am eligible to invest into this product and am not prohibited from access to it for any reason, including, but not limited to, jurisdictional promotion and current residence
- I understand the risks associated with this type of investment and confirm that it falls within my risk appetite for investment
- I understand the fee structure of the structured product and its impact on the investment and its value
- Where there are any guarantees in relation to the investment, I understand these are subject to the fiscal standing of the guarantor to be able to meet these obligations should the requirement fall upon it
- Where there is no capital protection, I understand that the entire investment is at risk of being lost
- I have been provided with, and have access to, all the relevant information to be able to understand all aspects of this investment
- I have fully discussed this investment with my financial adviser
- I understand that Forthplus Pensions Limited and any other company within the Forthplus group (Forthplus) do not have an opinion on this investment and are acting on my instructions or those of my authorised financial adviser. Forthplus are acting purely on an execution only basis, with total liability for this decision remaining with me at all times

Member

Signature:

Date:

Adviser Confirmation and Declaration

By countersigning this declaration, I confirm that:

- I have discussed the risks of the product and considered these against the risk profile of the member
- I have discussed and explained all associated risks with this investment
- I have detailed the conditions of the product clearly, and in full, to the member
- I have provided all relevant documentation to ensure that the member is fully informed
- The member is not prohibited from investing into this product
- I have discussed and explained in full, to the member, the declarations in this form, which they have agreed to and can confirm that they have understood these

Adviser

Signature:

Date:

Adviser Name:

Advice Firm: